## Face Modeling

Topics in Image-Based Modeling and Rendering CSE291 J00
Lecture 17


## Approaches

- 2-D Models - morphing, indexing, etc.
- Parameterized face models - e.g. muscle, FACS, etc.
- 3-D modeling, minimal face priors, [Georghiades et al ]
- 3D modeling, face prior [Pighin et al]
- 3D modeling, learned prior, [Blanz, Vetter]


## Parameterized face models

Muscle-based:

- Waters K., A Muscle Model for Animating ThreeDimensional Facial Expression. SIGGRAPH 1987


## Anatomy



## Skin as Mesh

- Nodal mobility
- Tensile Strength of skin
- Proximity to muscle attachment
- Depth of tissue \& proximity to bone
- Elasticity \& interaction with other muscles
- Network of springs
$-\mathrm{p}=\mathrm{F} / \mathrm{k}$



## Lambertian Surface: $\rho\left(\theta_{\text {in }}, \phi_{\text {in }} ; \theta_{\text {out }}, \phi_{\text {out }}\right)=$ constant



At image location (u,v), the intensity of a pixel $I(u, v)$ is:

$$
\begin{aligned}
I(u, v) & =[a(u, v) \hat{\mathbf{n}}(u, v)] \cdot\left[s_{0} \hat{\mathbf{s}}\right] \\
& =\mathbf{b}(u, v) \cdot \mathbf{s}
\end{aligned}
$$

where

- $a(u, v)$ is the albedo of the surface projecting to (u,v).
- $\hat{\mathbf{n}}(\mathrm{u}, \mathrm{v})$ is the direction of the surface normal.
- $s_{0}$ is the light source intensity.
$\mathrm{C} 529-\mathrm{Jo}, \mathrm{W}_{\mathrm{Win}} \hat{\mathrm{S}} \mathrm{i} \mathrm{s}_{3}$ the direction to the light source.


## Image Formation Model: No shadows



Lambertian model without shadowing:
where
I is an $n$-pixel image vector

$$
\mathbf{B}=\left[\begin{array}{c}
-\mathbf{b}_{1}^{\mathrm{T}}- \\
-\mathbf{b}_{2}^{\mathrm{T}}{ }_{2}- \\
\ldots_{\mathbf{b}^{\mathrm{T}}}
\end{array}\right]_{\mathrm{n} \times 3}
$$

$B$ is a matrix whose rows are unit normals scaled by the albedos
$\mathbf{S} \in \mathbf{R}^{3}$ is a vector of the light source direction scaled by intensity

## Computing $\mathbf{L}$

For $k$ images $X=\left[x_{1}, x_{2}, \ldots, x_{k}\right]$ imaged under $k$ unknown point light sources $S=\left[s_{1}, s_{2}, \ldots, s_{k}\right]$,

$$
\mathrm{X}=\mathrm{B} \mathrm{~S}
$$

Given $k \geq 3$ images we can compute $B^{*}$ that spans $L$ with

1. singular value decomposition


## Factoring

Given an $n$ by $m$ data matrix $\mathbf{X}$ containing measured feature points, it can be factored using singular value decomposition as:

$$
X=U D V^{T}
$$

Where
D: $m$ by $m$ diagonal matrix, non $\tilde{\text { Whegative }}$ entries, called singular values
U : n by m with orthogonal columns
$\mathrm{V}^{\mathrm{T}}: \mathrm{m}$ by m with orthogonal columns

$$
D=\left[\begin{array}{cccc}
\sigma_{1} & 0 & \cdots & 0 \\
0 & \sigma_{2} & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & \sigma_{m}
\end{array}\right], \sigma_{1} \geq \sigma_{2} \geq \sigma_{3} \geq \sigma_{m}
$$

- Without noise, $\sigma_{i}=0, i>3$
- With noise, set $\sigma_{i}=0, i>3$


## Factoring: After setting $\sigma_{i}=0, i>3$

$$
X^{\prime}=U^{\prime} D^{\prime} V^{\prime T}
$$

Where
D': 3 by 3 diagonal matrix, non-negative entries
U : n by 3 with orthogonal columns
$\mathrm{V}^{\mathrm{T}}$ : 3 by n with orthogonal columns

$$
X^{\prime}=U^{\prime} D^{\prime} V^{\prime T}=B^{*} S^{*}
$$

where

$$
\begin{aligned}
& B^{*}=U^{\prime} D^{1 / 2} \\
& S^{*}=D^{1 / 2} V^{T}
\end{aligned}
$$




## What do you see?

- Changing viewpoint
- Moving light source
- Deforming shape


## What was happening

- Changing viewpoint
- Moving light source
- Deforming shape



## Do Ambiguities Exist?

Can two objects of differing shapes and reflectance functions produce the same set of images?


## Do Ambiguities Exist? Yes

- Set of images is determined by linear subspace L
- The columns of $\mathbf{B}$ span $\mathbf{L}$
- For any $\mathbf{A} \in \mathrm{GL}(3), \mathbf{B}^{*}=\mathbf{B A}$ also spans $\mathbf{L}$, i.e. $X=B^{*} S^{\star}=B A A^{-1} S$
- 


## Illumination Subspace



$$
L=\left\{\mathbf{I} \mid \mathbf{I}=\mathrm{Bs}, \text { for all } \mathbf{s} \in \mathrm{R}^{3}\right\}
$$

- L is a 3-D linear subspace of image space, $\mathbf{R}^{\mathrm{n}}$.
- $L$ is spanned by 3 linearly independent images.


## From Normals to Surfaces

- Both $\mathbf{B}^{*}=\mathbf{B A}$ and $\mathbf{B}$ generate the same illumination cone.
- A question arises:

Since $\mathbf{B} /|\mathbf{B}|$ is the normal field of a surface $z=f(x, y)$, is $\mathbf{B}^{*} /\left|\mathbf{B}^{*}\right|$ also the normal field of a surface?

## Surface Integrability

In general, $\mathbf{B}^{*}$ does not have a corresponding surface.

Linear transformations of the surface normals in general an integrable normal field.


## Surface Integrability

A surface $f(x, y)$ must satisfy the following constraint:

Thus, b must satisfy

$$
f_{x y}=f_{y x}
$$

where

$$
\frac{\partial}{\partial y}\left(\frac{b_{1}}{b_{3}}\right)=\frac{\partial}{\partial x}\left(\frac{b_{2}}{b_{3}}\right)
$$

$$
\mathbf{b}=\left[\begin{array}{lll}
b_{1} & b_{2} & b_{3}
\end{array}\right]=\frac{1}{\sqrt{f_{x}^{2}+f_{y}^{2}+1}}\left[a f_{x} \quad a f_{y}-a\right]
$$

## GBR Transformation

Only
transformations satisfy the integrability
constraint:


## Generalized Bas-Relief Transformations



What about cast shadows for nonconvex objects?

P.P. Reubens in Opticorum Libri Sex, 1613

## GBR Preserves Shadows

Given a surface $f$ and a GBR transformed surface $f^{\prime}$ then for every light source $\mathbf{s}$ which illuminates $f$ there exists a light source $\mathbf{s}$ ' which illuminates $f$ ' such that the attached and cast shadows are identical.

GBR is the only transform that preserves shadows.
[Kriegman, Belhumeur 2001]


## Bas-Relief Sculpture



## Codex Urbinas



## GBR and Reconstruction

Proposition: From as few as three images of a Lambertian surface, taken under different lighting conditions, we can reconstruct the surface light sources. (See also Fan \& Wolff 97.)



## Recovering a GBR surface

1. Estimate a matrix $\mathbf{A}$ that makes $\mathrm{B}^{*} \mathrm{~A}$ close to integrable.
2. Integrate the vector field or fit a surface $z(x, y)$ to the vector field minimizing

$$
\iint\left(z_{x}-p\right)^{2}+\left(z_{y}-q\right)^{2} d x d y
$$

Where $\mathrm{p}, \mathrm{q}$ are estimates of the gradient $\left(\mathrm{z}_{\mathrm{x}}, \mathrm{z}_{\mathrm{y}}\right)$ from $\mathrm{B}^{*} \mathrm{~A}$.

## Image-Based Rendering: Attached Shadows



Single Light Source


Face Movie

## Resolve GBR

- Symmetry
- Canonical face model


## Resolve GBR using face information



## Synthesizing Realistic Facial Expressions from Photographs

- 3D facial models derived from photographs.
- Smooth transitioning between model expressions.
- Adaptation from one model to another.
- F. Pighin, J. Hecker, D. Lischinski, D. H. Salesin, R. Szeliski. Synthesizing realistic facial expressions from photographs. SIGGRAPH 98, pp. 75-84.


## Approach

- Capture multiple views of a human subject
- Manually mark a small set of correspondence points
- Automatically recover camera parameters as well as 3D position of marked points in space
- Deform a generic 3D face mesh to fit the particular human subject (Model fitting)
- Extract one or more texture maps for the 3D model from the photos
- Repeat for several facial expressions
- Perform facial animation: interpolation between two or more 3D models while blending the texture


## Model Fitting

- Adapt generic face model to fit an individual face and facial expression
- Input
- Several images of the face from different view points
- General face 3D model
- Output

Face model that has been adapted to fit the face in input images

Model fitting process:

- Pose recovery
- Scattered data interpolation
- Correspondence-based shape refinement


## Generic head model



Input images with marked feature points


## Pose Recovery

- Start with a rough knowledge of camera position
- Interactively improve the pose and 3D shape
- Some mathematics...
$\mathbf{R}^{\mathbf{k}}$ - rotation matrix, composed of three rows, $\mathbf{r}_{\mathbf{x}}^{\mathbf{k}}, \mathbf{r}_{\mathbf{y}}^{\mathbf{k}}, \mathbf{r}_{\mathbf{z}}^{\mathbf{k}}$
$\mathbf{t}^{k}$ - translation vector with three entries,

$f^{k}$ - Focal Length
$p_{i}$-3D coordinate of a specific face feature
$\mathbf{x}_{\mathrm{i}}^{\mathrm{k}}, \mathbf{y}_{\mathrm{i}}^{\mathbf{k}} \mathbf{- 2 D}$ coordinate in the $\mathrm{k}^{\prime}$ th image


## Pose Recovery - cont.

Each pixel coordinate is given by:

$$
\begin{equation*}
x_{i}^{k}=f^{k} \frac{r_{x}^{k} p_{i}+t_{x}^{k}}{r_{z}^{k} p_{i}+t_{z}^{k}} \quad y_{i}^{k}=f^{k} \frac{r_{y}^{k} p_{i}+t_{y}^{k}}{r_{z}^{k} p_{i}+t_{z}^{k}} \tag{1}
\end{equation*}
$$

## Substituting

$?^{k}=1 / \mathbf{t}_{z}^{k} \quad$ Inverse distance
$\mathbf{S}^{\mathbf{k}}=\mathbf{f}^{\mathrm{k}} \boldsymbol{?}^{\mathbf{k}} \quad$ World to image scale factor
$x_{i}^{k}=s^{k} \frac{r_{x}^{k} p_{i}+t_{x}^{k}}{1+\eta^{k} r_{z}^{k} p_{i}}$

$$
y_{i}^{k}=s^{k} \frac{r_{y}^{k} p_{i}+t_{y}^{k}}{1+\eta^{k} r_{z}^{k} p_{i}}
$$

## Pose Recovery - cont.

Let $w^{k}{ }_{i}$ be the inverse denominator
$w_{i}^{k}=\left(1+\eta^{k}\left(r_{z}^{k} p_{i}\right)\right)^{-1}$

## Collecting the terms on the left-hand side

 to yield:$w_{i}^{k}\left(x_{i}^{k}+x_{i}^{k} \eta^{k}\left(r_{z}^{k} p_{i}\right)-s^{k}\left(r_{x}^{k} p_{i}+t_{x}^{k}\right)\right)=0$
$w_{i}^{k}\left(y_{i}^{k}+y_{i}^{k} \eta^{k}\left(r_{z}^{k} p_{i}\right)-s^{k}\left(r_{y}^{k} p_{i}+t_{y}^{k}\right)\right)=0$

## Pose Recovery - cont.

The above equations are solved as follows:

- Maximum likelihood estimation of initial values is obtained using least squares
- Solving the equation for different subsets of unknowns, in five steps: first $\mathrm{s}^{\mathrm{k}}$, then $\mathrm{p}_{\mathrm{i}}, \mathrm{R}^{\mathrm{k}}, \mathrm{t}_{\mathrm{x}}^{\mathrm{k}}$ and $\mathrm{t}_{\mathrm{y}}^{\mathrm{k}}$, and finally ? ${ }^{\mathrm{k}}$ using linear least squares algorithm


## 3D Face Model



Generic model
Fit to 13 pts

## 3D face model refinement



112 points

## Scattered Data Interpolation

Once an initial set of coordinates for the feature points $p_{i}$ have been computed, these values are used to deform the remaining vertices on the face mesh

The interpolation function:
$f(p)=\sum_{i} c_{i} \phi\left(\left\|p-p_{i}\right\|\right)+M p+t$

## View-independent texture mapping

- The texture map is constructed on a virtual cylinder enclosing the face model
- $\quad \mathrm{m}^{\mathrm{k}}$ is now indexed by the ( $\mathrm{u}, \mathrm{v}$ ) texture coordinates; $\mathrm{m}^{\mathrm{k}}=$ $\mathrm{F}^{\mathrm{k}}(\mathrm{u}, \mathrm{v}) \mathrm{P}^{\mathrm{k}}(\mathrm{p})$
- $\mathrm{F}^{\mathrm{k}}$ - Feathered visibility map (0-1)
- $P^{\mathrm{k}}(\mathrm{p})$ - positional certainty of p



## View Independent Texture Extraction

- Blend photographs to form single texture.
- Map onto virtual cylinder.



## View-dependent texture mapping

- Associate texture coordinate and a blending weight for each vertex in the face mesh
- Two photographs which are closest to the viewing direction $d$, are blended using a blending function $\mathrm{V}^{\mathrm{k}}(\mathrm{d})$
- $\quad \mathrm{m}^{\mathrm{k}}=\mathrm{F}^{\mathrm{k}}\left(\mathrm{x}^{\mathrm{k}}, \mathrm{y}^{\mathrm{k}}\right) \mathrm{P}^{\mathrm{k}}(\mathrm{p}) \mathrm{V}^{\mathrm{k}}(\mathrm{d})$

Special treatment for eyes, teeth, ears and hair

## View Independent Texture Extraction

- Blurry



## Expression morphing

- Goal: generation of continuous and realistic transitions between different facial expressions
- Geometry interpolation
- Topology of all the faces meshes is identical simple linear interpolation
- Blending the textures
- Rendering intermediate face twice
- Blending is done on the 2D images
- Global blend
- Local blend
- Animation and derivative animations


## Results

- Show movie



## Results

- Applied transitions to different human subject:

- A morphable model for the synthesis of 3D faces Volker Blanz, Thomas Vetter, SIGGRAPH 99, pp. 187 - 194.

3D Morphable Model - Key Features

1. Representation $=3$ l $_{\text {Shape }}+$ Texture Map


## 3D Morphable Model - Key Features 2

2. Accurate \& Dense Correspondence
$\rightarrow$ PCA accounts for intrinsic ID parameters only

$t=\beta_{1} \cdot()^{2}+\beta_{2} \cdot\left(\beta_{3} \cdot \beta_{4} \cdot(\underline{F}+\ldots=\mathbf{T} \cdot \beta\right.$

## Building a Morphable Model

- Align training set using range data and reflectance from cyberware data
- Optical flow
- PCA on Depth \& texture
- Initial model
- Re-register all data with model
- Recompute PCA with increasing subspace Dimension



## Deviation from protoype



## 3D Morphable Model - Key Features 3

3. Extrinsic parameters modeled using Physical Relations:

- Pose : 3x3 Rotation matrix

- Illumination : Phong shading accounts for cast shadows and specular highlights
$\rightarrow$ No Lambertian Assumption.



## 3D Morphable Model - Key Features 4

4. Photo-realistic images rendered using Computer Graphics




## Materials

- Materials from this lecture were taken from the papers by the authors listed previously, and ppt slides by
- Sami Romdhani Volker Blanz Thomas Vetter
- Gustavo Halperin, Avishay Sidlesky

